

NEW PATIENT REGISTRATION FORM

Name:	Today's Da	te:	Date of Birth:	
Social Security #:	Marital Status:	□Single □Married	□Divorced □Widowed	
Spouses Name:	Address:			
City: St	ate: Zip Code:	Home Phone:		
Work Phone:	Cell: Emai	l:		
Occupation:	Employer Nar	ne & Address:		
Dental Insurance: Yes □ No □	Name of Insurance: Policy Holder SS#:			
Policy Holder I.D. number				
Emergency Contact Person:	Rela	ationship:		
Address:	Phone #:			
Person Financially Responsible: _		Phone:		
How did you find out about us: (If y	ou were referred by someone plea	se let us know who to th	ank):	
	/ou would prefer we contactin touch: ☐ Home Phone ☐ W			



DENTAL HISTORY

2.	How long has this been going	on and what would you like done?				
3.	Have you ever had a bad exper If you answered yes please do to make your visit more			No □ Yes		
Hav	-	peen told that you may have any of	_			
	□Gum Disease	□Bite issues		Jaw pain or TMJ		
	□Bad Breath	□Headaches or Migrain		Bruxism or Grinding		
	□Sleep Apnea	□Snoring	□L	□Dental Pain		
EV/	<u>LUATION</u>					
1.	Do you like the appearance	e of teeth, your smile	□Yes	□No		
	If not, explain	·				
2.	Are your teeth all in alignm	nent (straight)?	□Yes	□No		
3.	Do you have spaces that y	ou do not like?	□Yes	□No		
4.	Do you like the color of yo If not, explain	ur teeth?	□Yes	□No		
5.	Do you like the shape of your lf not, explain	our teeth?	□Yes	□No		
6.	Are your teeth?	Chipped Protruding_	Hidden			
7.	Do you like the way that yo	our teeth come together?	□Yes	□No		
8.	•	ntal work that you don't like look	•	□No		
9.	What would you like to cha	ange the most in the appearanc	e of your teeth? If not,	explain		



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	12 I H		SCALE
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In contrast to just feeling tired, how likely are you to doze off or fall asleep in the following situations?	Use the following
scale to choose the most appropriate number for each situation:	

0 = Would never doze	
1 = Slight chance of dozing	

2 = Moderate chance of dozing

3 = High chance of dozing

<u>SITUATION</u>	
Sitting and reading	
Watching television	
Sitting inactive in a public place (i.e. theater)	
As a car passenger for an hour without a break	
Lying down to rest in the afternoon	
Sitting and talking to someone	
Sitting Quietly after lunch without alcohol	
In a car, while stopping for a few minutes in traffic	
TOTAL SCORE	

To the best of my knowledge, the questions on this form have been accurately answered. I understand that providing incorrect information can be dangerous to my (or patient's) health. It is my responsibility to inform the dental office of any changes in medical status.

Patient (or Legal Guardian Signature)	Date	



NOTICE OF PRIVACY PRACTICES

THIS NOTICE DESCRIBES HOW HEALTH INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GAIN ACCESS TO THIS INFORMATION.

PLEASE REVIEW THIS NOTICE CAREFULLY.
THE PRIVACY OF YOUR HEALTH INFORMATION IS IMPORTANT TO US.

OUR LEGAL DUTY

The Health Insurance and Portability & Accountability Act of 1996 (HIPAA) is a federal program that requires that all medical and dental records and other individually identifiable health information used or disclosed by us in any form, whether electronically, on paper, or orally, are properly kept confidential. HIPAA gives you, the patient, significant rights to understand and control how your health information is used.

HIPAA provides penalties for covered entities, including our Practice that misuse "protected health information" (PHI). PHI is information about you, including demographic information, that may identify you and that relates to your past, present, or future physical or mental health or condition and related health care services. We are required by law to maintain the privacy of your PHI and to provide you with this notice of our legal duties and privacy practices with respect to your PHI. We also have legal obligations to notify you in the event of a breach of unsecured PHI.

This Notice of Privacy Practices describes how we may use and disclose your PHI for treatment, payment, healthcare operations, and for other purposes that are permitted or required by law. It also describes your rights to access and control your PHI. This Notice of Privacy Policies takes effect on 9/22/13, and remains in effect until we replace it. We are required to abide by the terms of the Notice of Privacy Practices that is in effect.

We reserve the right to change our privacy practices and the terms of this Notice of Privacy Practices at any time, provided such changes are permitted by applicable law. We reserve the right to make any changes in our privacy practices effective for all PHI that we maintain, including health information we created or received before we made the changes. In the event of a change in our practices, we will provide you with a copy of the revised Notice of Privacy Practices through one or more of the following methods: posting the Notice of Privacy Practices to our website, mailing you a copy, or providing you a copy at your next appointment with us.

You may request a copy of our current Notice of Privacy Practices at any time. For more information about our practices, or for additional copies, please contact us using the information listed at the end of this Notice.

HOW WE MAY USE AND DISCLOSE YOUR PROTECTED HEALTH INFORMATION

Treatment: We may use or disclose your PHI to personnel in our office, as well as to physicians and other healthcare professionals within or outside our office, who are involved in your medical care and need the information to provide you with medical care and related services. For example, we may use or disclose your PHI in consultations and/or discussions regarding your medical care and related services with healthcare providers who we refer to and receive referrals from. We require authorization to disclose your PHI to healthcare providers not currently involved in your care.

Payment: We may use and disclose your PHI to obtain payment for services we provide to you. If you personally pay in full for service(s), you have the right to restrict us from disclosing your PHI with respect to that service(s) to your health plan/insurer. For example, we may give your health insurance provider information about you so that they will pay for your treatment.

Healthcare Operations: We may use and disclose your PHI in connection with our healthcare operations. Healthcare operations include quality assessment and improvement activities, reviewing the competence or qualifications of healthcare professionals, evaluating practitioner and provider performance, conducting training programs, accreditation, certification, licensing, and credentialing activities. For example, we may disclose PHI to medical students who are performing work with our office, or call your name in the reception area.

Appointment Reminders and Other Contacts: We may disclose PHI in the course of leaving phone messages and in providing you with appointment reminders via phone messages, postcards, or letters. We also may use and disclose Health Information to tell you about treatment alternatives or health-related benefits and services that may be of interest to you.

Business Associates: We may disclose PHI to our business associates, such as billing services or healthcare professionals providing services as independent contractors, for the purpose of performing specified functions on our behalf and/or providing us with services. PHI will only be used or



disclosed if the information is necessary for such functions or services. All of our business associates are obligated to protect the privacy of PHI and are not allowed to use or disclose any PHI other than as specified in our contract with them.

Your Family, Friends, and Representatives: We may use or disclose PHI to notify or assist in the notification of a family member, domestic partner, close personal friend, your personal representative, an entity assisting in a disaster relief effort, or another person responsible for or involved in your care. If you are present, prior to use or disclosure of PHI we will provide you with an opportunity to object to such uses or disclosures. In the event of your incapacity, your death, or in emergency circumstances, if deemed appropriate based upon our professional judgment, we will disclose PHI that is directly relevant to the person's involvement in your care. We may inform such person(s) of your location, your general condition, or death. We will also use our professional judgment and our experience with common practice to make reasonable inferences of your best interest in allowing a person to obtain prescriptions, medical supplies, x-rays, or other similar forms of PHI on your behalf. We will not disclose PHI to such an individual if doing so would be inconsistent with any of your prior wishes that are known by us.

Abuse or Neglect: We may disclose your PHI to appropriate authorities if we reasonably believe that you are a possible victim of abuse, neglect, or domestic violence, or the victim of other crimes. We may disclose your PHI to the extent necessary to avert a serious threat to your health or safety or the health or safety of others.

Coroners, Medical Examiners and Funeral Directors: We may release PHI to coroners or medical examiners as necessary, for such purposes as identifying a deceased person or determining the cause of death. We also may release PHI to funeral directors as necessary for their duties.

National Security: Under certain circumstances, we may disclose PHI to military authorities. We may disclose PHI to authorized federal officials as required for lawful intelligence, counterintelligence, and other national security activities. Under certain circumstances, we may disclose PHI to a correctional institution or law enforcement official with whom you are in lawful custody.

Fundraising: We may contact you in relation to fundraising activities, however you have the right to opt out of receiving such communications.

Data Breach Notification Purposes: We may use or disclose your PHI to provide legally required notices of unauthorized access to or disclosure of your PHI.

Required by Law: We may use or disclose your PHI when we are required to do so by law. Such circumstances include, but are not limited to, compliance with a court order, mandatory reporting due to serious or imminent threats to the public, mandatory reporting of child abuse or neglect, in response to government agency audits or investigations, and reporting disclosures to the Secretary of the Department of Health and Human Services as necessary for the purpose of investigating or determining our compliance with HIPAA and Health Information Technology for Economic and Clinical Health Act (HITECH) rules.

YOU MAY PROVIDE ADDITIONAL AUTHORIZATION

Marketing Uses: We may only use or disclose your PHI for marketing purposes if you authorize us to do so. Such authorization would allow us to disclose PHI to a third party vendor business associate for the purpose of providing you with targeted supplementary products or services when your physician believes such offerings will be of value to you. Your authorization may be revoked in writing at any time. Revocation of authorization will not affect any use or disclosures permitted by your authorization while it was in effect.

Sale: We may only use or disclose your PHI in a manner that constitutes a sale of information if you authorize us to do so. Your authorization may be revoked in writing at any time. Revocation of authorization will not affect any use or disclosures permitted by your authorization while it was in effect.

To Others Upon Your Specific Authorization: In addition to our use of PHI as described in this Notice of Privacy Practices, you may give us written authorization to use your PHI or to disclose it to anyone for any purpose. If you give us an authorization, you may revoke it in writing at any time. Your revocation will not affect any use or disclosures permitted by your authorization while it was in effect. If the Practice maintains any psychotherapy notes, they will not be released unless you sign an authorization or if otherwise required by law. Consistent with the Genetic Information Nondiscrimination Act (GINA), our Practice will not use or disclose your genetic information to insurance providers or others for underwriting purposes.

PATIENT RIGHTS

Access: You have the right to inspect and receive copies of your PHI, or to receive your PHI electronically, with limited exceptions. You may also



request that we prepare a summary or an explanation of your PHI. If we maintain your PHI in electronic format, you may request to view your PHI in that format. You may request that we provide copies or the summary in a format other than photocopies. We will use the format you request unless it is not practicable. To obtain copies or a summary, you must make a request in writing and provide us a reasonable amount of time to respond, generally thirty (30) days. You may send a letter to or request a form from us using the contact information listed at the end of this Notice of Privacy Practices. We will charge you a reasonable cost-based fee for expenses such as copies, postage, scanning cost, electronic data compilation costs, and/or staff time. Contact us using the information listed at the end of this Notice of Privacy Practices for a full explanation of fees for your request.

Notification of a Breach: We will notify you of a breach of your unsecured PHI, as required by HIPAA and the Health Information Technology for Economic and Clinical Health Act (HITECH).

Disclosure Accounting: You have the right to receive a list of instances, if any, in which we or our business associates or their subcontractors disclosed your PHI for purposes other than treatment, payment, healthcare operations, and other permitted uses as described in this Notice of Privacy Practices, for the last 3 years. If you request this accounting more than once in a 12-month period, we may charge you a reasonable, cost-based fee for responding to the additional requests. You have the right to request such an accounting in an electronic format.

Restrictions: You have the right to request that we place additional restrictions on our use or disclosure of your PHI. We are not required to agree to these additional restrictions, but if we do, we will abide by our agreement, except in emergency circumstances.

Electronic, Alternative, or Confidential Communication: You have the right to request, in writing, that we communicate with you about your PHI by alternative means, such as in electronic format, or to alternative locations. Your request must specify the alternative means or location, and provide satisfactory explanation regarding how payments will be handled under the alternative means or location you request.

Amendment: You have the right to request, in writing, that we amend your PHI. Your request must explain why the information should be amended. We may deny your request under certain circumstances.

Electronic Notice: If you receive this Notice of Privacy Practices on our website or by e-mail, you are entitled to receive a copy in written form.

QUESTIONS AND COMPLAINTS

If you have any concerns that we may have violated your privacy rights, or if you disagree with a decision we made about access to your PHI or in response to a request you made to amend or restrict the use or disclosure of your PHI, or to have us communicate with you by alternative means or at alternative locations, you may contact us using the information listed below.

In addition, you may submit a written complaint to the U.S. Department of Health and Human Services. We will provide you with the contact information for filing a complaint upon request. We support your right to the privacy of your PHI. We will not retaliate in any way if you choose to file a complaint with us or with the U.S. Department of Health and Human Services.

If you would like additional information regarding our privacy practices, or if you have questions or concerns, please contact us as indicated below.

Contact Officer: Dr. Lewis Yu, D.M.D.

Address: 4543 Stoney Batter Road, Wilmington, DE 19808

Telephone: 302.239.1641

Email: info@allaboutsmilesde.com



NOTICE OF MATERIAL CHANGES TO OUR PRIVACY PRACTICES POLICY EFFECTIVE: SEPTEMBER 22, 2013

BACKGROUND

The Health Insurance and Portability & Accountability Act of 1996 (HIPAA) gives individuals the right to be informed of their healthcare providers' privacy practices and the right to understand and control how their health information is used. Healthcare providers are required to develop and distribute a notice that provides a clear explanation of these rights and practices.

Our Practice has made material changes to our privacy practices, consistent with legal changes to HIPAA and the Health Information Technology for Economic and Clinical Health Act (HITECH). We will be providing all of our patients with our revised and updated Notice of Privacy Practices, and requesting a signed acknowledgment of receipt from each patient.

SUMMARY OF MATERIAL CHANGES TO OUR PRIVACY PRACTICES:

- We have added a statement to our Privacy Practices acknowledging that we may not use or disclose your protected health information for marketing purposes, including disclosures that constitute sales, without your authorization.
- We will be issuing new Patient Release of Records Authorization forms that allow patients to choose whether to allow or limit the Practice from disclosing their protected health information in certain ways, to include opting out of fundraising communications.
- If the Practice maintains a patient's psychotherapy notes, they will not be released unless you the patient signs an authorization or if
 otherwise required by law.
- Patients have the right to restrict the Practice from disclosing certain protected health information to health plan providers if the patient personally pays for their service in full.
- We have revised our internal privacy breach reporting practices to comply with 2013 changes in the HIPAA and HITECH privacy rules, and patients have a right to receive a notification of breaches of unsecured protected health information.
- Consistent with the Genetic Information Nondiscrimination Act (GINA), our Practice will not use or disclose any genetic information to insurance providers or others for underwriting purposes.

If you would like additional information regarding our privacy practices, or if you have questions or concerns, please contact us as indicated below.

Contact Officer: Dr. Lewis Yu. D.M.D.

Address: 4543 Stoney Batter Road, Wilmington, DE 19808

Telephone: 302.239.1641

Email: info@allaboutsmilesde.com



ACKNOWLEDGMENT OF RECEIPT OF NOTICE OF PRIVACY PRACTICES

I acknowledge that I have been provided a copy of *All About Smiles, P.A.*, Notice of Privacy Practices, which has an effective date of 09/22/13, and which describes how my health information may be used and disclosed.

I understand that you have the right to change the Notice of Privacy Practices at any time, that I will be provided a copy of any updated version, and that I may contact you at any time to request a current Notice of Privacy Practices.

My signature below acknowledges that I have bee Practices:	en provided with a copy of the Notice of Privacy
Signature of Patient or Patient's Representative	Date
Print Name	

Relationship to Patient (If not signed by the Patient)

Eaglesoft Medical History

Patient Name: Birth Date: Date Created:

Although dental personnel p	rimarily tre	eat the ar	ea in <mark>and around</mark>	your mou	th, your mo	uth is a pa	rt of your entire body. He	alth problem	s that yo	u may have, or medication that	you may	be taking
Are you under a physician's	care now	?		Yes	⊚ No	If yes						
Have you ever been hospita	alized or h	ad a majo	r operation?	Yes	⊚ No	If yes						
Have you ever had a serious head or neck injury?												
Are you taking any medicati	Are you taking any medications, pills, or drugs?					If yes						
Do you take, or have you ta	aken, Pher	n-Fen or R	tedux?	Yes	⊚ No	If yes						
Have you ever taken Fosam medications containing bisph			l or any other	⊚ Yes	⊚ No	If yes						
Are you on a special diet?				Yes	⊚ No							
Do you use tobacco?				Yes	⊚ No							
Do you use controlled subst	ances?			Yes	⊚ No	If yes						
Vomen: Are you Pregnant/Trying to get p	nregnant?			Nursin	ng?			Пта	king oral	contraceptives?		
	or egridine:			I YOU SII	ıy:				iking orai	conducepaves:		
re you allergic to any of the	following?	•										
Aspirin			Penicillin				Codeine			Acrylic		
Metal			Latex				Sulfa Drugs			Local Anesthetics		
Other?						75						
Others						If yes						
o you have, or have you had	d, any of	the follow	ing?									
AIDS/HIV Positive	⊚ Yes	⊚ No	Cortisone Medi	cine	Yes	⊚ No	Hemophilia	Yes	⊚ No	Radiation Treatments	Yes	⊚ No
Alzheimer's Disease	Yes	⊚ No	Diabetes		Yes	No	Hepatitis A	Yes	⊚ No	Recent Weight Loss	Yes	⊚ No
Anaphylaxis	Yes	No	Drug Addiction		Yes	No No ■ No No ■ No N	Hepatitis B or C	Yes	○ No	Renal Dialysis	Yes	⊚ No
Anemia	Yes	No	Easily Winded		Yes	○ No	Herpes	Yes	No	Rheumatic Fever	Yes	○ No
Angina	Yes	No	Emphysema		Yes	○ No	High Blood Pressure	Yes	No	Rheumatism	Yes	No No
Arthritis/Gout	Yes	No	Epilepsy or Seiz	zures	Yes	○ No	High Cholesterol	Yes	○ No	Scarlet Fever	Yes	O No
Artificial Heart Valve	Yes	No	Excessive Bleed	ding	Yes	O No	Hives or Rash	Yes	O No	Shingles	Yes	○ No
Artificial Joint	Yes	No	Excessive Thirs	t	Yes	○ No	Hypoglycemia	Yes	O No	Sickle Cell Disease	Yes	O No
Asthma	Yes	○ No	Fainting Spells/	Dizziness	Yes	O No	Irregular Heartbeat	Yes	○ No	Sinus Trouble	Yes	○ No
Blood Disease	Yes	No	Frequent Coug		Yes	O No	Kidney Problems	Yes	O No	Spina Bifida	Yes	○ No
Blood Transfusion	Yes		Frequent Diarri		Yes		Leukemia	Yes		Stomach/Intestinal Disease	Yes	
Breathing Problems	Yes	_	Frequent Head	aches	Yes		Liver Disease	Yes		Stroke	Yes	
Bruise Easily	O Yes		Genital Herpes		O Yes		Low Blood Pressure	O Yes	_	Swelling of Limbs	O Yes	_
Cancer	O Yes		Glaucoma		⊚ Yes		Lung Disease	⊚ Yes		Thyroid Disease	Yes	
Chemotherapy	Yes		Hay Fever	_:l	⊚ Yes		Mitral Valve Prolapse Osteoporosis	⊚ Yes		Tonsillitis	Yes	
Chest Pains Cold Sores/Fever Blisters	O Yes		Heart Attack/F	allure	Yes Yes		Pain in Jaw Joints	⊚ Yes		Tuberculosis Tumors or Growths	YesYes	
Congenital Heart Disorder	Yes Yes		Heart Pacemak	er	© Yes	_	Parathyroid Disease	YesYes		Ulcers	Yes	_
Convulsions	© Yes	_	Heart Trouble/I		© Yes		Psychiatric Care	© Yes		Venereal Disease	© Yes	
Yellow Jaundice		⊚ No			0 100	0110	,	0 100	0110		0 100	0110
Have you ever had any seri			above?	Yes	⊚ No	If yes						
Comments:												
the best of my knowledge, t sponsibility to inform the den					y answered	. I unders	stand that providing incorre	ect informatio	on can be	dangerous to my (or patient's)	health.	It is my
Signature of Patient, Parent of												
	Sual uid											
,									_			
X									D	ate:		



FINANCIAL POLICY

We are committed to providing you with the highest quality of care. Having a clear understanding of our financial policy is important to our professional relationship. Prior to treatment, you must complete our patient information and medical history forms, read and approve our privacy policy (HIPAA) and submit your insurance card for photocopying.

We are considered a "fee for service practice". What this means is that payment is expected at the time of service. Our fees are a reflection of the quality of care we provide. We continue our commitment by offering a variety of financial options to enable you to receive the dental care that you need. We accept cash, check, VISA, MasterCard, Discover and American Express. We offer a 5% discount for check/cash patients. We have also partnered with a third-party company to offer the flexibility of deferred interest and extended payment options (CareCredit). Check policy: If your check is returned for any reason, a \$45.00 processing fee will be charged in addition to the amount of the check. Interest at a rate of 1.5% per month will be added to your account per month on accounts of 90 days. If an account is referred to, or purchased by a collection agency, a fee will be assessed 50% of the outstanding balance and added to your ledger. In addition you will be responsible for any fees added by or incurred by the collection agency collecting the debt.

We will communicate all recommended treatment options and associated fees, prior to the start of treatment. A delinquent account impedes our ability to provide you with the quality dental care that you deserve. It is our policy that the parent or guardian who accompanies a child to our office for treatment is responsible for payment of all services rendered.

If at any time you have any questions regarding our fees, financial policy or your responsibility please do not hesitate to ask any member of our business team.

INSURANCE IS A CONTRACT BETWEEN YOU AND THE INSURANCE COMPANY.

Whether you have purchased dental insurance on your own or your employer has provided it for you, you are fortunate to have it and we will go the extra mile to help you to maximize the benefits provided by your specific plan. As a courtesy, we will do the verification of benefits, file all claims and do any necessary follow-up. Most insurance companies usually pay a percentage of the fee, and this varies from plan to plan. Although your insurance plan may say that services are paid at 100%, 80%, 50%, etc., the plan may contain clauses and limitations on yearly maximums, frequency limitations and set fee allowances and are not always clearly specified. We will do our best to *estimate* what your insurance will pay, what your out-of-pocket will be and then help you to understand how your individual plan works.

We will electronically submit the claim on the day of your appointment and you can usually expect payment from your insurance company within 7 to 10 business days. You, the patient, are ultimately and completely responsible for the payment of your account.

If you have any questions or concerns about your finances or treatment please let us know and we will work out a personalized treatment and finance option that will suit your budget and lifestyle.

I have read the above and I understand that I am completely responsible for payment on my account and agree to the above terms.

		-	
Name	Date		